

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Michael John WRAY

Group Art Unit: 2131

Serial No.: 10/810,308

Examiner: not yet assigned

Filed: March 26, 2004

Our Ref.: B-5404 621794-8

For: "SECURITY ATTRIBUTES IN  
TRUSTED COMPUTING SYSTEMS"

Date of this paper:  
October 6, 2004

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**COMPLETION OF FILING REQUIREMENTS**

Responsive to office letter dated 06/07/2004 (copy attached).

**DECLARATION OR OATH**

- ☒ Please find enclosed the Declaration/Power signed  
by the inventor (1 page).

**AMENDMENT OF CLAIMS**

- ☐ Please cancel claims \_\_\_\_\_ inclusive
- ☐ Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

**FEES**

- |  |                 |
|--|-----------------|
| <input checked="" type="checkbox"/> Filing fee (37 CFR 1.16(a) - 770.00) | <u>\$770.00</u> |
| (small entity - 385.00)  |                 |
| <input type="checkbox"/> Fees for claims                                 |                 |
| <input type="checkbox"/> 0 Independent claim in excess of 3              |                 |
| (37 CFR 1.16(b) - 86.00)   |                 |
| (small entity - 43.00)   | <u>0</u>        |
| <input type="checkbox"/> 0 Claim in excess of 20                         |                 |
| (37 CFR 1.16(c) - 18.00)   | <u>0</u>        |
| (small entity - 9.00)  |                 |

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

MAIL STOP MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: October 6, 2004

Suzanne Johnston  
(Typed or printed name of person mailing paper)

[Signature]  
(Signature of person mailing paper)

**Completion of Filing Requirements (Page 1 of 2)**

☐ Multiple dependent claim(s)  
                   (37 CFR 1.16(d) - 290.00)  
                   (small entity - 145.00)

☒ Surcharge fees

☒ Late payment of filing fee  
                   (37 CFR 1.16(e) - 130.00)  
                   (small entity - 65.00)

AND

☒ Late filing of declaration or oath  
                   (37 CFR 1.16(e) - 130.00)                   \$130.00  
                   (small entity - 65.00)

☐ Petition fee for filing by person not  
                   the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment  
                   (37 CFR 1.21(h) (i) 40.00)

☐ Attached is an assignment to

Total fees:                   \$900.00

**SMALL ENTITY STATUS**

☐ A verified statement that this filing is by a small entity is

☐ Attached                   ☐ Already filed on \_\_\_\_\_  
                   W/cover sheet

**PAYMENT OF FEES**

☒ Enclosed is a check in the amount of \$900.00

☐ Charge Account No. 08-2025 in the amount of \_\_\_\_\_  
                   A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose  
                   the fees are paid. 37 CFR 1.22(b).

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

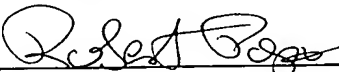
☒ The Commissioner is hereby authorized to charge the following  
                   additional fees which may be required or credit any overpayment to  
                   Account No. 08-2025.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of  
                   Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in  
                   loss of entitlement to small entity status must be filed in the  
                   application... prior to paying... issue fee."



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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/810,308	03/26/2004	Michael John Wray	B-5404 621794-8

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400



CONFIRMATION NO. 7996

FORMALITIES LETTER



\*OC000000012886867\*

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

10/12/2004 LWONDIM1 00000030 082025 10810308

01 FC:1001 790.00 OP  
02 FC:1051 20.00 DA 110.00 OP

*A copy of this notice MUST be returned with the reply.*

*Frederick*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE